

Service Charges for Private Banking Customers
私人銀行客戶服務收費表

Items 項目	Particulars 摘要	
1. Mutual Fund 互惠基金		
Subscription fee 認購費	In accordance with the fees stated in the Explanatory Memorandum or Prospectus of individual funds 按個別基金說明書所列為準	
Redemption fee 贖回費	In accordance with the fees stated in the Explanatory Memorandum or Prospectus of individual funds 按個別基金說明書所列為準	
Switching fee 轉換費	In accordance with the fees stated in the Explanatory Memorandum or Prospectus of individual funds, the minimum fee is 1% of the switching amount 按個別基金說明書所列為準，最少為轉換額之 1%	
Transfer-in 轉入	Waived 免收	
Transfer-out 轉出	HK\$100 per fund 每基金 HK\$100	
Corporate action 公司行動	Waived 免收	
2. Bond / Certificate of Deposit 債券／不記名存款證		
Purchase / sell transaction 買入／賣出	Up to 2% of the face value of the debt securities / Certificate of Deposit per transaction 每宗交易收取不多於債券／不記名存款證面值之 2%	
Transfer-in 轉入	Waived 免收	
Transfer-out 轉出	HK\$500 per transaction 每宗交易 HK\$500	
Custody fee 託管費	0.06% of the face value of the debt securities / Certificate of Deposit per annum (to be charged semi-annually) 每年收取債券／不記名存款證票面值之 0.06% (每半年收取)	
Coupon collections 票息託收	Waived 免收	
Corporate action 公司行動	Waived 免收	
3. Unlisted Structured Product 非上市結構性投資產品		
Subscription 認購	Up to 4% of the face value of the notes 不多於票據票面值之 4%	
Early redemption upon customer request 由客戶提出的提早贖回申請	Up to 4% of the face value of the notes 不多於票據票面值之 4% (Except for early redemption according to the Note's terms 按票據條款提早贖回除外)	
Redemption upon maturity 到期贖回	Waived 免收	
Corporate Action 公司行動	Waived 免收	
4. Securities Trading and Custody Services 證券買賣及託管服務		
Brokerage commission 證券交易佣金	Securities traded in SEHK (except listed bonds) 香港聯交所買賣證券 (上市債券除外)	0.25% (minimum HK\$80) 0.25% (最低 HK\$80)
	Listed bonds on SEHK (e.g. government bonds) 香港聯交所上市債券 (例如政府債券)	0.1% (minimum HK\$80) 0.1% (最低 HK\$80)
Shares deposit charges 存入股票收費	HK\$10 per board lot for the first 100 board lots, thereafter HK\$2 per board lot (minimum HK\$30) 首 100 手每手 HK\$10，其後每手 HK\$2 (最低收費為 HK\$30)	
Shares withdrawal charges 提取股票收費	Waived 免收	
Handling charges for CCASS Settlement instruction (“SI”) (per stock, per instruction) 中央結算交收指示服務費 (每種股票、每指示計)	Waived for normal SI HK\$30 for SI involving payment instruction Remittance fee will be applied to SI involving payment on Realtime Delivery versus Payment (“RDP”) basis 一般免收 如涉及付款指示，服務費為港幣 30 元 如以即時貨銀對付(“RDP”)方式付款，另需支付匯款費用	
Custody fee 託管費	HK\$0.15 per board lot for the first 17,000 board lots, thereafter HK\$0.10 per board lot (minimum HK\$100, applicable to nil stock balance account. This charge will be calculated on customer’s aggregate stock quantity in account as at 1 st June and 1 st December of each year.) 首 17,000 手每手 HK\$0.15，其後每手 HK\$0.10 (最低收費 HK\$100，無論賬戶是否存有股票。此收費按客戶每年於 6 月 1 日及 12 月 1 日股票賬結存計算。)	
Dormant account service charges 不動賬戶服務費	Waived 免收	
Dividend collection charges 代收股息費	0.50% on dividend amount. If the charge is over HK\$2,500, 0.25% on remaining amount (minimum HK\$30 and maximum HK\$10,000) 所收股息金額之 0.50%。如收費超越 HK\$2,500，其後收費為餘數之 0.25% (最低收費為 HK\$30，最高收費為 HK\$10,000)	
Bonus shares, rights issue . scrip dividend collection charges 代收紅股、供股、以股代息費	HK\$10 per board lot for the first 100 board lots, thereafter HK\$2 per board lot (minimum HK\$30) 首 100 手每手 HK\$10，其後每手 HK\$2 (最低收費為 HK\$30)	

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Handling charges for privatisation / stock takeover 處理公司私有化／股份收購手續費	0.125% on the consideration (minimum HK\$30 and maximum HK\$10,000) 收購代價之 0.125% (最低收費為 HK\$30，最高收費為 HK\$10,000)
Handling charges for shares splitting / consolidation 處理股份分拆／合併手續費	HK\$30 per transaction 每項收費 HK\$30
Application fee for new issue 申請新股費	HK\$100 per application 每份申請收費 HK\$100
Dividend claiming charges (per claim, per distribution period) 追討股息費 (每項、每期)	HK\$150, plus 0.50% on dividend amount. If the charge is over HK\$ 2,500, 0.25% on remaining amount (minimum HK\$30) 手續費 HK\$150，另加所收股息金額之 0.50%。如收費超越 HK\$2,500，其後收費為餘數之 0.25% (最低收費為 HK\$30)
Bonus shares claiming charges (per claim, per distribution period) 追討紅股費 (每項、每期)	HK\$150, plus HK\$10 per board lot for the first 100 board lots, thereafter HK\$2 per board lot (minimum HK\$30) 手續費 HK\$150，另加首 100 手每手 HK\$10，其後每手 HK\$2 (最低收費為 HK\$30)

Remarks 備註

1. Odd lot is charged as board lot.
不足一手亦視作一手計算。
2. All out-of-pocket expenses incurred on behalf of the customer(s) in respect of Hong Kong Securities Clearing Company Limited, Inland Revenue Department and / or share registrar will be reimbursed at cost.
由本行代客戶支付予香港中央結算有限公司、稅務局及／或股票過戶處之各項費用，將會悉數在客戶賬戶中扣除。
3. For details of any other charges not listed in this Service Charges, please contact your Private Banking Relationship Manager.
就其他未載於本收費表之收費，請向您的私人銀行客戶經理查詢。
4. The Bank reserves the right to change the above charges from time to time.
本行保留不時調整各項收費之權利。